

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Harish T. Dass

Timothy Redpath et al.

Technology Center/Art Unit: 3628

Application No.: 09/696,538

AMENDMENT

Filed: October 25, 2000

For: SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS

Customer No.: 42313

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed February 7, 2007, please enter the following amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.